

(Local) Class Field Theory

K/\mathbb{Q}_p finite extn

Goal: $K^\times \hookrightarrow \text{Gal}(\bar{K}/K)_{\text{ab}} = \text{Gal}(K^{\text{ab}}/K)$ with dense image

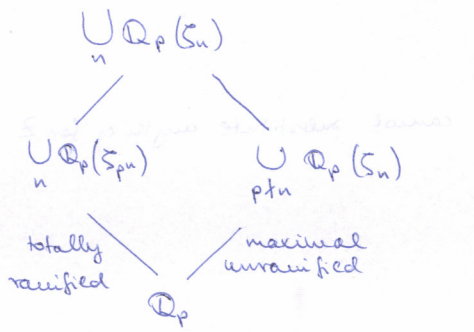
where $K \subseteq K^{\text{ab}} \subseteq \bar{K}$ is the maximal abelian subextension

What is K^{ab} ?

Thm. (Global Kummer - Weber) $\mathbb{Q}^{\text{ab}} = \bigcup_n \mathbb{Q}(\zeta_n)$

Thm. (Local Kummer - Weber) $\mathbb{Q}_p^{\text{ab}} = \bigcup_n \mathbb{Q}_p(\zeta_n)$

These results fail for nontrivial finite extensions.



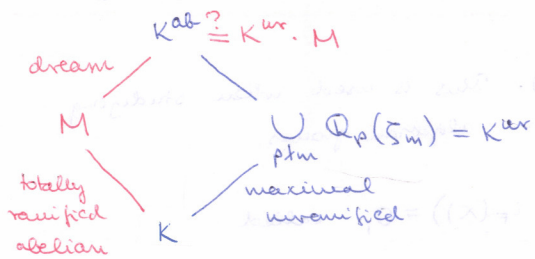
Try to imitate this for K .

$k_K = \mathbb{F}_q$

$k_{K^{\text{ab}}} = \overline{k_K} = \overline{\mathbb{F}_q} = \bigcup_{p/m} \mathbb{F}_q(\zeta_m)$

M comes from Eisenstein polynomials

Difficulty: their Galois groups



Approaches to LCFT:

- Galois cohomology, possibly with Brauer groups / central singularities
 - Lubin - Tate th.
 - Neukirch approach
 - Dwork / Hasse-Winkel approach
- } connected to each other

A local field looks like an orbifold surface \Rightarrow we expect some form of Poincaré duality $H^1(K, -) \cong H_1(K, -)^*$, therefore thm. $\pi_1(K)_{\text{ab}} \cong H_1(K, \mathbb{Z})$

Problem: we don't quite know what this is actually is.

PD: $H^1(\) \times H^1(\) \xrightarrow{\sim} H^2(\) \cong \mathbb{Q}/\mathbb{Z}$ (perfect pairing)
Brauer grp.

Formal groups / formal group laws

Rule. A ring. Then for $f, g \in A[[X]]$: $f \circ g$ is well-def'd. when $g \in (X)$

E.g. $f = \sum X^i, g = 1 \rightarrow f \circ g = 1 + 1 + 1 + \dots$ is not well-def'd.

Recall that $f \in A[[X]]^*$ iff the constant coeff is in A^* .

Note that $f \in (X)$ is invertible under composition iff the linear coeff is in A^*

Def. A formal group over A is a formal power series $F(X, Y) \in A[[X, Y]] = A[[X]][[Y]]$ such

that (1) $F(X, Y) = X + Y + (\text{deg} \geq 2)$

First order looks like addition

(2) $F(F(X, Y), Z) = F(X, F(Y, Z))$

Associativity under composition

(3) $F(X, Y) = F(Y, X)$.

Commutativity

Different terminology: one-dimensional formal group law.

(2) should be regarded as an identity in $A[[X, Y, Z]]$, one cannot substitute anything for Z

A formal group is not a group.

Ex. Formal additive group \widehat{G}_a over Z : $F(X, Y) := X + Y$.

Ex. Formal multiplicative group \widehat{G}_m over Z : $F(X, Y) := X + Y + XY$

Aside. \widehat{G}_m : Rings \rightarrow Groups functor, $\widehat{G}_m = \text{Spec } Z[[T, T^{-1}]]$. This is used when studying algebraic groups.
 $R \mapsto R^*$

Rule. For a formal group $F(X, Y) \in A[[X, Y]] \exists ! i_F \in A[[X]]$ s.t. $F(X, i_F(X)) = 0$, called the formal inverse of F .

Notation. $\alpha +_F \beta := F(\alpha, \beta)$ whenever this has a meaning

Def. F, G formal groups over A . Then a homomorphism of formal groups

$f: F \rightarrow G$ is an element $f \in T A[[T]]$ s.t. $f \circ F = G \circ f$, i.e. $f(F(X, Y)) = G(f(X), f(Y))$.

Def. $\text{Hom}(F, G) :=$ set of homomorphisms $F \rightarrow G$.

This is an abelian group under $f \tilde{+} g := G(f, g)$ (which is basically the same as $+_G$)

$\text{End}(F) := \text{Hom}(F, F)$ becomes a ring under composition.

Now let K/\mathbb{Q}_p be a finite extension, $k_K = \mathbb{F}_q$

let L/K be a possibly infinite complete unramified extension.

E.g. L/K finite unramified or $L := \widehat{K^{ur}}$

Def. an unramified extension of K is an ~~algebraic~~ extension such that every finite subextension is unramified in the previous sense. (i.e. has ramification index 1).

Every unramified extn of K has the same value group as K , in particular, any such L is a discretely valued field.

Checking the following statements from p. 32: let F, G be formal groups over A . Then

1) $\text{Hom}_A(F, G)$ is an abelian gp under $f +_G g = G(f, g)$.

2) $\text{End}_A(F)$ is a ring under $+$ and composition.

Pf: 1) $G(f, g)(F(x, y)) \stackrel{?}{=} G(G(f, g)(x), G(f, g)(y))$

LHS = $G(f(F(x, y)), g(F(x, y)))$ unravel $G(f, g)$

= $G(G(f(x), f(y)), G(g(x), g(y)))$ since $f, g \in \text{Hom}_A(F, G)$

RHS = $G(G(f(x), g(x)), G(f(y), g(y)))$ unravel $G(f, g)$

LHS = $G(f(x), G(f(y), G(g(x), g(y))))$ associativity of G

= $G(f(x), G(g(x), G(g(y), f(y))))$ associativity + commutativity of G

= $G(G(f(x), g(x)), G(f(y), g(y)))$ associativity of G

Remark. With the more general defn of (not necessarily 1-dim) formal group laws, they form an additive category. B. does not know whether it is abelian.

Let K/\mathbb{Q}_p be a fin extension, $k_K = \mathbb{F}_q$, L/K the completion of an unramified extension of K .

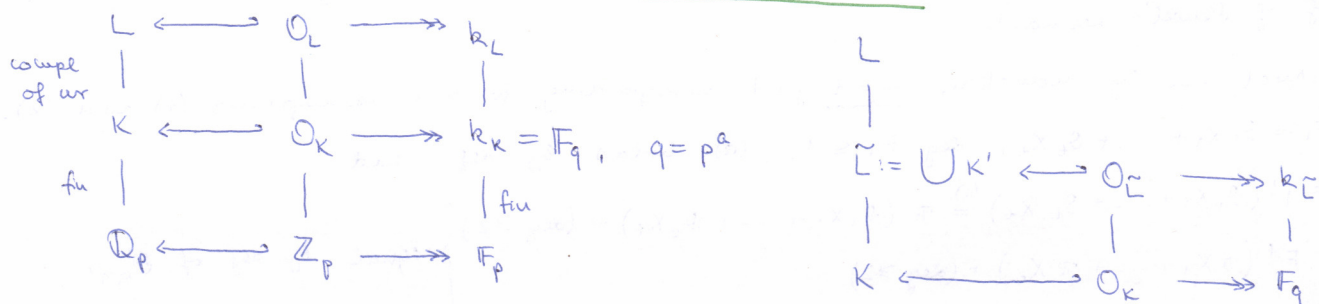
Def. L/K is called unramified if it is algebraic and all finite subextensions $K \subseteq K' \subseteq L$ are unramified in the sense we used so far, i.e. $e_{K'/K} = 1$.

Remark. There is a unique continuous extension of the absolute value to L ,

$L = \text{top. compl.} \left(\bigcup_{\substack{K'/K \\ \text{fin}}} K' \right)$ L is a discretely valued field because the value group doesn't change for any K'/K fin.

Remark. $m_L = m_K \cdot \mathcal{O}_L$ because this is true for fin extensions, hence for the union and completion as well. From now on we may thus use the notation m quite liberally without worrying about which maximal ideal it denotes.

Def. $x \mapsto x^q$ in k_L is called the arithmetic Frobenius.



$\text{Gal}(\tilde{L}/K) \cong \text{Gal}(k_{\tilde{L}}/k_K)$ We have shown this for unramified, take the union \Rightarrow true for \tilde{L} .

$\bar{\varphi} \in \text{Gal}(k_{\tilde{L}}/k_K)$ canonical for $\varphi \in \text{Gal}(\tilde{L}/K)$

Def. The canonical element $\bar{\varphi}: x \mapsto x^q$ pins down a unique elt $\varphi \in \text{Gal}(\tilde{L}/K)$ and then by cont. continuation to the topological completion L defines a unique automorphism $\varphi: L \rightarrow L$. We also call this φ the arithmetic Frobenius.

Notation: $\forall x \in L: x^\varphi := \varphi(x)$. Note that this notation, while it seems to abusively mix left and right actions, is not "wrong".

Def. $F = \sum f_i T^i \in \mathcal{O}_L[[T]]$ then $F^\varphi := \sum f_i^\varphi T^i$

Prop. If $F \in \mathcal{O}_L[[X, Y]]$ is a formal group / \mathcal{O}_L then F^φ is also a formal group (because φ respects addition & multiplication).

Def. $\pi, \pi' \in L$ uniformisers. Let $\Theta_{\pi, \pi'}^L := \left\{ \vartheta \in \mathcal{O}_L \mid \frac{\vartheta^\varphi}{\vartheta} = \frac{\pi'}{\pi} \right\}$

Prop. Suppose π'' is another uniformiser. Then if $\vartheta \in \Theta_{\pi, \pi'}^L$ and $\vartheta' \in \Theta_{\pi', \pi''}^L$ then $\vartheta \cdot \vartheta' \in \Theta_{\pi, \pi''}^L$.

$$\text{Pf: } \frac{\vartheta^\varphi}{\vartheta} = \frac{\pi'}{\pi} \quad \& \quad \frac{(\vartheta')^\varphi}{\vartheta'} = \frac{\pi''}{\pi'} \Rightarrow \frac{(\vartheta \vartheta')^\varphi}{\vartheta \vartheta'} = \frac{\vartheta^\varphi}{\vartheta} \cdot \frac{(\vartheta')^\varphi}{\vartheta'} = \frac{\pi'}{\pi} \cdot \frac{\pi''}{\pi'} = \frac{\pi''}{\pi}$$

Ex. $L=K$. Then the residue field extension is trivial, $\varphi = \text{id}$.

$$\Rightarrow \Theta_{\pi, \pi'}^L = \left\{ \vartheta \in \mathcal{O}_L \mid \frac{\vartheta}{\vartheta} = 1 = \frac{\pi'}{\pi} \right\} = \begin{cases} \mathcal{O}_L & \text{if } \pi' = \pi \\ \emptyset & \text{if } \pi' \neq \pi \end{cases}$$

Ex. L arbitrary, $\pi' = \pi \Rightarrow \Theta_{\pi, \pi'}^L = \left\{ \vartheta \in \mathcal{O}_L \mid \vartheta^\varphi = \vartheta \right\} \supseteq \mathcal{O}_K$. Actually, one can show $\Theta_{\pi, \pi'}^L = \mathcal{O}_K$.

Lemma. Let π be a uniformiser of L , $f \in \mathcal{O}_L[[X]]$ s.t. (1) $f(X) = \pi X + (\text{deg} \geq 2)$,

(2) $f(X) \equiv X^q \pmod{m_L}$

Let π', f' be another such pair.

Assume $\vartheta_1, \dots, \vartheta_t \in \Theta_{\pi, \pi'}^L$. Then $\exists! F \in \mathcal{O}_L[[X_1, \dots, X_t]]$ s.t. (a) $F = \vartheta_1 X_1 + \dots + \vartheta_t X_t + (\text{deg} \geq 2)$

(b) $f' \circ F = F^\varphi \circ f$

Pf. "This will be absolute magic. You'll love it!"

Sts $\forall m \geq 1 \exists! F_m, \text{deg } F_m \leq m$ s.t. (a) and (b) hold true mod $\text{deg } m+1$. (This is like the proof of Hensel's Lemma.)

We construct F_m by induction, $\underline{m=1}$ just corresponding to our assumptions (1) and (2):

take $F_1 := \vartheta_1 X_1 + \dots + \vartheta_t X_t$, $\text{deg } F_1 \leq 1$, (a) holds by def. and

$$f' \circ F = f'(\vartheta_1 X_1 + \dots + \vartheta_t X_t) \stackrel{(1)}{=} \pi'(\vartheta_1 X_1 + \dots + \vartheta_t X_t) + (\text{deg} \geq 2)$$

$$F_1^\varphi \circ f = F_1^\varphi(\pi X_1 + \dots + \pi X_t) + (\text{deg} \geq 2)$$

(1)
for f

} equal by def of $\Theta_{\pi, \pi'}^L$

$G_m := f' \circ F_m - F_m^\varphi \circ f$. Then it follows that $G_m \equiv F_m^q - F_m^\varphi(x_1^q, \dots, x_m^q) \equiv 0 \pmod{m_L}$

\Rightarrow all coeffs of G_m are in $\pi' \mathcal{O}_L$

Define H_{m+1} homogeneous in degree $m+1$ s.t. $H_{m+1} = F_{m+1} - F_m$. (Where F_{m+1} is still yet to be defined.)

We need: $f' \circ F_{m+1} - F_{m+1}^\varphi \circ f \equiv G_m + (f' \circ H_{m+1} - H_{m+1}^\varphi \circ f) + (\deg \geq m+2)$

$$\equiv G_m + (\pi' H_{m+1} - \pi^{m+1} H_{m+1}^\varphi) \quad \text{by homogeneity}$$

For any monomial of deg $m+1$ appearing in H_{m+1} , let $\pi' \beta$ be its coefficient in our equation, $\beta \in \mathcal{O}_L$.

$$\pi' \beta + \pi' \alpha - \pi^{m+1} \alpha^q = 0 \quad (\dagger)$$

Claim. $\alpha := -\beta - \sum_{i=1}^{\infty} \left(\frac{\pi^{m+1}}{\pi'}\right)^{1+\varphi+\varphi^i-1} \beta^{\varphi^i}$

$$= -\beta - \beta^\varphi - \left(\frac{\pi^{m+1}}{\pi'}\right)^{1+\varphi} \beta^2 + \dots \quad \text{satisfies } (\dagger)$$

PF: The power series converges. Plugging in shows the claim.

(cf. proof of Hilbert 30)

Thus existence is settled. Now we turn to uniqueness.

$\{$ Suppose $\alpha_1 \neq \alpha_2$. Let $\gamma := \frac{\pi^{m+1}}{\pi'}$; then $(\dagger) \Leftrightarrow \alpha - \gamma \alpha^\varphi = \beta$.

$$\Rightarrow \alpha_1 - \alpha_2 = \gamma (\alpha_1^\varphi - \alpha_2^\varphi) \Rightarrow v_L(\alpha_1 - \alpha_2) = v_L(\gamma) + v_L(\alpha_1^\varphi - \alpha_2^\varphi)$$

$$\alpha_1 - \alpha_2 \neq 0 \Rightarrow \alpha_1 - \alpha_2 \in L^\times \Rightarrow v_L(\gamma) = 0 \not\geq 1.$$

Prop. $f, f' \in \mathcal{O}_L[X]$ as above with lin coeffs π, π' resp. Then

1) $\exists!$ F_f formal gp / \mathcal{O}_L s.t. $f \in \text{Hom}_{\mathcal{O}_L}(F_f, F_f^\varphi)$

2) $\exists!$ $[\cdot]_{f,f'}: \mathcal{O}_{\pi, \pi'}^L \rightarrow m_L \mathcal{O}_L[X]$ s.t. $[\vartheta]_{f,f'} = \vartheta X + (\deg \geq 2)$,

$$f' \circ [\vartheta]_{f,f'} = [\vartheta]_{f,f'}^\varphi \circ f$$

It satisfies $[\vartheta]_{f,f'} + [\vartheta']_{f,f'} = [\vartheta + \vartheta']_{f,f'}$ and if $\tilde{\vartheta} \in \mathcal{O}_{\pi, \pi'}^L$ then

$$[\tilde{\vartheta} \vartheta]_{f,f''} = [\tilde{\vartheta}]_{f',f''} \circ [\vartheta]_{f,f'}$$

where f'', π'' are a pair as above.

3) $[\vartheta]_{f,f'} \in \text{Hom}_{\mathcal{O}_L}(F_f, F_{f'}) \quad \forall \vartheta \in \mathcal{O}_{\pi, \pi'}^L$

Def. For an $f \in \mathcal{O}_L[X]$, F_f is the Lubin-Tate formal group of f .

Q. Lubin-Tate: "Formal complex multiplication for local fields"

In char 0: alg groups \leftrightarrow Lie groups.

However, there are problems in char p , hence the introduction of formal gps.

there are "between" alg gps and Lie gps.

Literature: Silverman: E.C.

Fuchsich: Formal Gps.

Lasavel: Comm. F.G.

Iwasawa: LCFT

(recap)

Prop. f, f' as before. Then

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1) $\exists F_f$ formal gp / \mathcal{O}_L s.t. $f \in \text{Hom}_{\mathcal{O}_L}(F_f, F_f^\varphi)$

2) $\exists! [\cdot]_{f, f'} : \mathbb{O}_{\pi, \pi'}^L \rightarrow X_{\mathcal{O}_L}[X]$ s.t. $[\vartheta]_{f, f'}(X) = \vartheta X + (\deg \geq 2)$,
 $f' \circ [\vartheta]_{f, f'} = [\vartheta]_{f, f'}^\varphi \circ f$

with a) $[\vartheta]_{f, f'} +_{F_f} [\vartheta']_{f, f'} = [\vartheta + \vartheta']_{f, f'} \quad \forall \vartheta, \vartheta' \in \mathbb{O}_{\pi, \pi'}^L$

b) $[\vartheta_2]_{f, f'} \circ [\vartheta_1]_{f, f'} = [\vartheta_1, \vartheta_2]_{f, f'}$ $\forall \vartheta_1 \in \mathbb{O}_{\pi, \pi'}^L, \forall \vartheta_2 \in \mathbb{O}_{\pi', \pi}^L \Rightarrow \vartheta_1, \vartheta_2 \in \mathbb{O}_{\pi, \pi'}^L$

c) $[\vartheta]_{f, f'} \in \text{Hom}_{\mathcal{O}_L}(F_f, F_{f'}) \quad \forall \vartheta \in \mathbb{O}_{\pi, \pi'}^L$

Pf: First note that $\vartheta, \vartheta' \in \mathbb{O}_{\pi, \pi'}^L \Rightarrow \vartheta + \vartheta' \in \mathbb{O}_{\pi, \pi'}^L$:

$\frac{\vartheta^\varphi}{\vartheta} = \frac{\vartheta'^\varphi}{\vartheta'} = \frac{\pi'}{\pi} \Rightarrow \frac{(\vartheta + \vartheta')^\varphi}{\vartheta + \vartheta'} = \frac{\pi'}{\pi}$ Hence a) makes sense indeed.

1) Use lemma with $\pi' = \pi, f' = f, t = 2, \vartheta_1 = \vartheta_2 = 1, F \equiv X + Y + (\deg \geq 2)$ and define F_f as the output of the lemma.

A priori F_f need not be a formal gp, but if it is, $f \in \text{Hom}_{\mathcal{O}_L}(F_f, F_f^\varphi)$ automatically holds.

Checking axioms:

• first order \checkmark

• associativity: $F_f(F_f(X, Y), Z) \stackrel{?}{=} F_f(X, F_f(Y, Z))$

Use lemma with $t = 3, \vartheta_1 = \vartheta_2 = \vartheta_3 = 1$, same misfaisers.

LHS = $(X + Y) + Z + (\deg \geq 2)$, RHS = $X + (Y + Z) + (\deg \geq 2)$ agree in first order

Uniqueness in lemma \Rightarrow LHS = RHS \checkmark

• commutativity: same idea, use uniqueness in lemma for $F_f(Y, X)$

\Rightarrow first order $X + Y + \dots = Y + X + \dots \Rightarrow \checkmark$

2) Use lemma for ϑ as given. Use uniqueness a lot to prove the rest.

Ex: $K := \mathbb{O}_p, \pi := p, f := (1 + X)^p - 1 = \sum_{j=1}^p \binom{p}{j} X^j = pX + p \cdot (\dots) + X^p$

$\Rightarrow f(X) = pX + (\deg \geq 2) \checkmark, f(X) \equiv X^p \pmod{m_p} \checkmark$ All conditions are satisfied.

\Rightarrow get F_f associated LT formal gp, $F_f \cong \widehat{G}_m$. To see this, check in first order terms.

Remark 1) $[\cdot]_{f,f}: \mathcal{O}_K \rightarrow \text{End}_{\mathcal{O}_L}(F_f)$ is injective: if an elt is sent to zero, it must be zero in 1st order, use uniqueness.

2) $\vartheta \in \bigoplus_{\pi, \pi'}^L \cap \mathcal{O}_L^\times \rightarrow [\vartheta]_{f,f}$ is an iso \mathcal{O}_L of formal gps with inverse $[\vartheta^{-1}]_{f,f}$:

$$[\vartheta] \circ [\vartheta^{-1}] = [\vartheta \cdot \vartheta^{-1}] = [1], \text{ use uniqueness.}$$

Ex. Note that $\pi \in \bigoplus_{\pi, \pi'}^L$ as $\frac{\pi^p}{\pi} = \frac{\pi^p}{\pi} \Rightarrow$ get $[\pi]_{f,f^p} = f: F_f \rightarrow F_f^p$ by uniqueness.

Def. Define $f_m := (f^{\varphi^{m-1}}) \circ (f^{\varphi^{m-2}}) \circ \dots \circ f^{\varphi} \circ f \in \mathcal{O}_L[X]$ for $m \geq 1$, $f_0 := X$.

Notice that $f_1 = f$.

$$\text{We have } f_m = [\pi^{\varphi^{m-1}}]_{f^{\varphi^{m-1}}, f^{\varphi^m}} \circ [\pi^{\varphi^{m-2}}]_{f^{\varphi^{m-2}}, f^{\varphi^{m-1}}} \circ \dots \circ [\pi]_{f, f^p}$$

Def. Define $\pi_m := \prod_{t=0}^{m-1} \pi^{\varphi^t}$.

$$\text{Then } f_m = [\pi_m]_{f, f^{\varphi^m}}$$

So we have constructed:

$$\begin{aligned} F_f &\xrightarrow{f_1} F_f^p \\ F_f &\xrightarrow{f_2} F_f^{\varphi^2} \\ F_f &\xrightarrow{f_3} F_f^{\varphi^3} \\ &\vdots \end{aligned}$$

Def. Let $f \in \mathcal{O}_L[X]$ be as above, with linear coefficient π . For every $m \geq 1$ let L_f^m be the splitting field of f_m .

$$\mu_{f,m} := \{ \alpha \in L_f^m \mid f_m(\alpha) = 0 \} \text{ generalised roots of unity}$$

Ex. (cont.) $L = K = \mathbb{Q}_p$, $f = (X+1)^p - 1$, $F_f = \widehat{\mathbb{G}}_m \Rightarrow f_m = (1+X)^{p^m} - 1$

$$\Rightarrow L_f^m = \mathbb{Q}_p(\zeta_{p^m}), \mu_{f,m} = \{ \zeta - 1 \mid \zeta \text{ a } p^m\text{-th root of unity} \}$$

Lemma X. Let $m \geq 1$, $L' := L_f^m$ for brevity.

1) $\mu_{f,m} \subseteq \mathfrak{m}_{L'}$

2) $\forall x \in K^\times \forall a \in \mathfrak{m}_{L'}: \nu_L(x) = m \ \& \ x \in \mu_{f,m} \Leftrightarrow [x]_{f,f}(a) = 0$

$$\Leftrightarrow [a](x) = 0 \quad \forall a \in \mathfrak{m}_{L'}$$

After Weierstrass preparation, $f_m =$

PF: 1) = (monic polynomial) \cdot (unit). But if $y \in \mu_{f,m}$, it is a root of a monic polynomial \mathcal{O}_L . L'/L is a finite extn, $\mathcal{O}_{L'} = \text{int dom of } \mathcal{O}_L \text{ in } L'$.

$$\Rightarrow y \in \mathcal{O}_{L'}$$

$$\mathcal{O}_L = \mathcal{O}_L^x \amalg \mathfrak{m}_L$$

Sts y is not a unit. $\exists y \in \mathcal{O}_L^x$. Consider $\mathcal{O}_L^x \rightarrow k_L^x \Rightarrow$ get that y is a unit mod \mathfrak{m}_L . But if $0 = f(y) \equiv y^q \pmod{\mathfrak{m}_L}$ for $q = \#k_k$.
 $\Rightarrow y \equiv 0 \pmod{\mathfrak{m}_L}$ but 0 is not a unit mod \mathfrak{m}_L ζ

$$2) \begin{matrix} [x]_{f,f} = [x/\pi_m]_{f,f} \circ f_m \\ \underbrace{\phantom{[x/\pi_m]_{f,f}}} \\ [\pi_m]_{f,f} \circ f_m \end{matrix}$$

$$\mathcal{O}_L(\pi_m) = \mathfrak{m} \Rightarrow x/\pi_m \text{ is a unit}$$

The last equivalence is done similarly. □

Prop. $m \geq 1$, f, π as before.

1) $\mu_{f,m}$ is an (lowest) \mathcal{O}_k -module with $+_F$ and $[\cdot]_f$.

$\forall \alpha \in \mu_{f,m}^x := \mu_{f,m} \setminus \mu_{f,m-1}$ we have an iso of \mathcal{O}_k -modules

$$\begin{matrix} \psi: \mathcal{O}_k / \mathfrak{m}_k^m & \longrightarrow & \mu_{f,m} \\ \alpha \text{ mod } \mathfrak{m}_k^m & \longmapsto & [\alpha]_f(\alpha) \end{matrix}$$

2) $L_f^m = L(\alpha)$, i.e. α is a primitive elt for L_f^m/L .

$$\bullet N_{L_f^m/L}(-\alpha) = \pi^{q^{m-1}}$$

$\bullet \alpha$ is a uniformiser of L_f^m

Moreover, L_f^m/L is a tot ram Gal. extn of degree $\# \mu_{f,m}^x = q^{m-1} \cdot (q-1)$

Pf. 1): $\mu_{f,m} \subseteq \mathfrak{m}_L$ by prev. Prop. Since $F_f \in \mathcal{O}_L[X, Y]$ and $[\cdot]_{f,f} \in \mathcal{O}_L[X]$, it follows $F_f(-, -)$ and $[\cdot]_{f,f}$ converge in \mathfrak{m}_L . $\underbrace{[\cdot]_{f,f}}_{=:[\cdot]_f \text{ in some books}}$

$$F_f(\mathfrak{m}_L) := \{y \in \mathfrak{m}_L\} \text{ with } y + y' := F_f(y, y') \text{ and } \alpha \cdot y := [\alpha]_{f,f}(y), \forall \alpha \in \mathcal{O}_k$$

$\rightarrow F_f(\mathfrak{m}_L)$ has an lowest \mathcal{O}_k -module structure.

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Better defs: by Weierstrass preparation: $f_m = \pi^i \cdot g \cdot u$, $u \in \mathcal{O}[X]^x$, g

mod \mathfrak{m} : LHS $\neq 0$, let RHS $\neq 0$ iff $i=0 \Rightarrow f_m = g \cdot u$

$$g = X^{\deg(g)} + \pi \cdot (\dots) \Rightarrow X^{q^m} \equiv X^{\deg(g)} u_0 \left(1 + \frac{u_1}{u_0} X + \frac{u_2}{u_0} X^2 + \dots\right) \Rightarrow \deg g = q^m,$$

$u_0 \equiv 1, \frac{u_i}{u_0} \equiv 0 \pmod{\mathfrak{m}} \Rightarrow g$ satisfies the same conditions that f did

(namely $f = \pi X + (\deg \geq 2), f \equiv X^q \pmod{\mathfrak{m}_L}$)

So the better defs are: $L_f^m :=$ splitting field of g

$$\mu_{f,m} := \{ \alpha \in L_f^m \mid g(\alpha) = 0 \}$$

Cf. Iwasawa: "LCFT". His definitions are as follows:

$$\mu_{f,m} := \{ \alpha \in L^{\text{sep}} \mid f(\alpha) = 0 \text{ \& } \alpha \in \mathfrak{m}_L(\alpha) \}$$

$$L_f^m := L(\mu_{f,m})$$

We append the Prop.

Prop. 3) $\rho_{f,m}: \text{Gal}(L_f^m/L) \xrightarrow{\sim} \text{Aut}_{\mathcal{O}_K}(\mu_{f,m}) \xrightarrow{\sim} (\mathcal{O}_K/\mathfrak{m}_K^m)^{\times}$

as α is a primitive element, this aut extends to an L -algebra map $\left(\alpha \mapsto [u]_f(x) \right) \leftarrow u$

PF: 1) Haven't checked yet: $x+y, a \cdot x \in \mu_{f,m}$.

$$\alpha \in \mu_{f,m} \stackrel{*}{\Leftrightarrow} [b]_{f,f}(\alpha) = 0 \quad \forall b \in \mathfrak{m}_K^m$$

Hence $x \in \mu_{f,m} \Rightarrow [b]_{f,f} [a]_{f,f}(x) = [a]_{f,f} [b]_{f,f}(x) = 0 \quad \forall b$, i.e. $a \cdot x \in \mu_{f,m}$

Similarly: $x, y \in \mu_{f,m} \Rightarrow \forall b \in \mathfrak{m}_K^m: [b]_f x = [b]_f y = 0$

$$\Rightarrow [b]_f(x+y) = [b]_f x + [b]_f y = F_f(0,0) = 0. \quad \checkmark$$

So $F_f(\mathfrak{m}_L)$ indeed has an \mathcal{O}_K -module structure.

We know that $\mathcal{O}_K \xrightarrow{\hat{\psi}} \mu_{f,m}$ is an \mathcal{O}_K -module homomorphism,

$$[a_1 + a_2]_f = [a_1]_f + [a_2]_f, \quad [a_1 \cdot a_2]_f = [a_1]_f \cdot [a_2]_f$$

If $a \in \mathfrak{m}_K^m \Rightarrow [a]_f x = 0 \quad \forall x \in \mu_{f,m} \Rightarrow \mathfrak{m}_K^m \subseteq \text{Ker } \hat{\psi}$, $\hat{\psi}$ descends to the map

$$\psi: \mathcal{O}_K/\mathfrak{m}_K^m \rightarrow \mu_{f,m}.$$

Claim. ψ is injective.

PF: $\alpha \in \mu_{f,m} \setminus \mu_{f,m-1} \Rightarrow \exists a \in \mathfrak{m}_K^{m-1}: [a]_f \alpha \neq 0$.

Claim. ψ is surjective.

PF: $\#|\mathcal{O}_K/\mathfrak{m}_K^m| = q^m$ because $\mathfrak{m}_K^{m-1}/\mathfrak{m}_K^m \hookrightarrow \mathcal{O}_K/\mathfrak{m}_K^m \rightarrow \mathcal{O}_K/\mathfrak{m}_K^{m-1} \Rightarrow \mathfrak{m}_K^{m-1}/\mathfrak{m}_K^m \cong \mathcal{O}_K/\mathfrak{m}_K \cong \mathbb{F}_q$

and induction.

$\mu_{f,m} = \{ \alpha \in L^{\text{sep}} \mid f_m(\alpha) = 0 \}$ seen: $\deg(\text{distinguished part of } f_m) = q^m$

Since $f_m = f \circ \varphi^{m-1} \circ \dots \circ f \circ \varphi \circ f$, $\# \mu_{f,m} \leq q^m$. So we are done by injectivity.

$\Rightarrow \psi$ is an iso of \mathcal{O}_K -modules.

Remark. It also follows that $\mu_{f,m}^x = \{\text{roots of distinguished part of } f_m\}$

2) We have $\mu_{f,m} \subseteq L(\alpha)$ and L_f^m/L is Galois.

The constant term of f_m/f_{m-1} is:

$$f = \pi X + (\deg \geq 2) \Rightarrow f_m = \pi \varphi^{m-1} \dots \pi \varphi \pi X + (\deg \geq 2) \Rightarrow \text{const coeff of } \frac{f_m}{f_{m-1}} = \pi \varphi^{m-1}$$

$$\Rightarrow \pi \varphi^{m-1} = \prod_{\alpha \in \mu_{f,m}^x} (-\alpha) = N(-\alpha) \quad (\#)$$

\uparrow
 L_f^m/L is Galois

Take $v_f^m(-)$ of (#): $e(L_f^m/L) = \sum_{\alpha \in \mu_{f,m}^x} v_f^m(-\alpha) \geq \#\mu_{f,m}^x = \#\mu_{f,m} - \#\mu_{f,m-1} = q^{m-1}(q-1)$

$$\deg \left(\frac{f_m}{f_{m-1}} \right)^* = q^{m-1}(q-1) \quad \text{where } \frac{dp}{dp} \text{ denotes the distinguished piece.}$$

$$\geq (L_f^m : L) = e(L_f^m/L) \cdot f(L_f^m/L) \geq q^{m-1}(q-1) \cdot 1$$

\Rightarrow have equality, $f(L_f^m/L) = 1$, L_f^m/L is totally ramified. of degree $\#\mu_{f,m}^x = q^{m-1}(q-1)$

Also α is a primitive elt.

* Roots of $\frac{f_m}{f_{m-1}}$ correspond to elts of $\mu_{f,m} / \mu_{f,m-1}$.

11.12.2018

To give a better insight, consider the following example:

$$K := \mathbb{Q}_p, k_K = \mathbb{F}_p, L := K = \mathbb{Q}_p, \varphi := \text{id}, f = (1+X)^p - 1 = pX + (\deg \geq 2),$$

$$\pi = p \text{ uniformiser, } f \equiv X^p \pmod{p}$$

Claim. $F_f = \hat{G}_m$

PF: Uniqueness \Rightarrow sts $f \in \text{Hom}_{\mathbb{Z}_p}(F_{\hat{G}_m}, F_{\hat{G}_m})$.

Recall: $F_{\hat{G}_m} = X + Y + XY = (X+1)(Y+1) - 1$ (this looks more like a multiplication,

$$f = (1+X)^p - 1$$

just with a little twist of adding/subtracting 1)

Multiplication is compatible w/ taking $(-)^p$. This proves the claim. □

Already known: $f_m = [\pi_m]_{f,f} \varphi^m$ for $\pi_m = \prod_{t=0}^{m-1} \pi \varphi^t$

$$f_m = (1+X)^{p^m} - 1, \pi_m = p^m, f_m = [p^m]_{f,f}$$

$$L_f^m = (\mathbb{Q}_p)_f^m = \mathbb{Q}_p(\zeta - 1 \mid \zeta \text{ a } p^m\text{th root of } 1), \mu_{f,m} = \{\zeta - 1 \mid \zeta \text{ a } p^m\text{th root of } 1\}$$

Recall Lemma X, p. 37.

$$[a](X) = \underbrace{[1 + \dots + 1]}_{a \text{ times}}(X) = \underbrace{X + \dots + X}_{a \text{ times}} = (1+X)^a - 1$$

Also recall $\mathbb{Z}_p/p^n \mathbb{Z}_p \cong \mathbb{Z}/p^n \mathbb{Z}$

$$\mu_{f,m} = \{\zeta - 1 \mid \zeta \text{ root of } 1\} \cong \underbrace{\{\zeta \mid p^m\text{th root of } 1\}}_{\mathbb{Z}/p^m \mathbb{Z} \text{ - module}}$$

$$\mu_{f,m}^{\times} = \{ \zeta \text{ primitive } p^m \text{th root of } 1 \}$$

Pf of surjectivity of ψ again: (cf. p. 39)

$$\mathbb{O}_K / \mathfrak{m}_K \xrightarrow[\cdot \pi_K]{\sim} \mathfrak{m}_K / \mathfrak{m}_K^2 \xrightarrow[\cdot \pi_K]{\sim} \mathfrak{m}_K^2 / \mathfrak{m}_K^3 \xrightarrow{\sim} \dots \Rightarrow \# \left| \mathfrak{m}_K^i / \mathfrak{m}_K^{i+1} \right| = \# \mathbb{F}_q = q$$

$$\mathfrak{m}_K^i / \mathfrak{m}_K^{i+1} \hookrightarrow \mathbb{O}_K / \mathfrak{m}_K^{i+1} \twoheadrightarrow \mathbb{O}_K / \mathfrak{m}_K^i \text{ exact} \Rightarrow \# \left| \mathbb{O}_K / \mathfrak{m}_K^{i+1} \right| = \# \left| \mathfrak{m}_K^i / \mathfrak{m}_K^{i+1} \right| \cdot \# \left| \mathbb{O}_K / \mathfrak{m}_K^i \right|$$

Induction $\rightarrow \# \left| \mathbb{O}_K / \mathfrak{m}_K^m \right| = q^m$.

$$\mathbb{O}_K / \mathfrak{m}_K^m \cong \mathbb{Z}_p / p^m \mathbb{Z}_p \cong \mathbb{Z} / p^m \mathbb{Z} \text{ has } \# = p^m$$

$$\# \left| \mathbb{O}_K / \mathfrak{m}_K^m \right| = q^m = \deg(\text{dist part of } f_m) \geq \# \mu_{f,m} \geq q^m \text{ by injectivity of } \psi$$

We need leave equality \Rightarrow surjectivity. \checkmark

Rec. $f(x) = \pi x + (\deg \geq 2) \rightarrow f(0) = 0$

$$f_m = f \circ p^{m-1} \circ f_{m-1} \rightarrow f_m(0) = 0$$

\Rightarrow all roots of dist part of f_{m-1} are also roots of dist part of f_m

$\Rightarrow \mu_{f,1} \subseteq \mu_{f,2} \subseteq \mu_{f,3} \subseteq \dots$ (a p^{m-1} th root of 1 is also a p^m th root of 1)

$\Rightarrow f_m / f_{m-1} \in \mathbb{O}_L[X]$ (Weierstrass prep.) (We need not worry about multiple roots by \hookrightarrow)

Moreover, $\frac{dp(f_m)}{dp(f_{m-1})} \in \mathbb{O}_L[X]$

$$\Rightarrow \mu_{f,m}^{\times} = \mu_{f,m} \setminus \mu_{f,m-1} = \left\{ \text{roots of } \frac{dp(f_m)}{dp(f_{m-1})} \right\}$$

$$dp(f_m) = (x+1)^{p^m} - 1$$

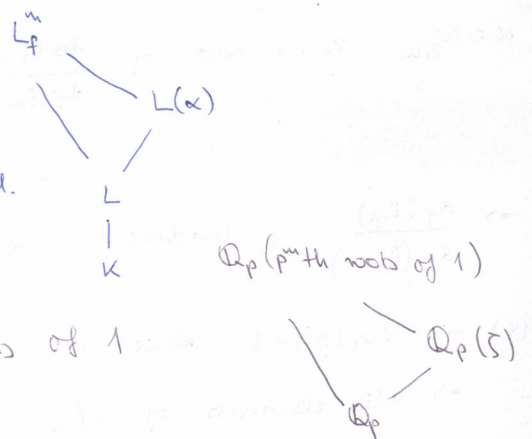
Claim $\mu_{f,m} \subseteq L(\alpha)$

Pf: ψ iso, $[a]_{f,f} \in \mathbb{O}_L[X] \Rightarrow [a]_{f,f}(\alpha) \in L(\alpha)$

$L(\alpha)/L$ already has the properties of a splitting field.

$\Rightarrow L(\alpha) = L_f^m \Rightarrow L^{\flat} := L_f^m$ is Galois over L

ζ primitive root of 1 \Rightarrow generates all p^m th roots of 1



$$f = \pi X + (\deg \geq 2) \Rightarrow \frac{f_m}{f_{m-1}} = \pi \varphi^{m-1} + (\deg \geq 1)$$

$$= \frac{\pi \varphi^{m-1} \pi \varphi^{m-2} \dots \pi X + (\deg \geq 2)}{\pi \varphi^{m-2} \dots \pi X + (\deg \geq 2)} \quad \text{by def of } f_m, f_{m-1}$$

$$\frac{f_m(x)}{f_{m-1}(x)} = \left(\prod_{\beta \in \mu_{f_m}^x} (x - \beta) \right) (\dots) \quad \text{Subst. } x := 0 \rightarrow \pi \varphi^{m-1} = \left(\prod_{\beta \in \mu_{f_m}^x} (-\beta) \right) (\dots)$$

unit in \mathcal{O}_L

requires a bit more computation $\rightarrow N_{L'/L}(-\alpha)$

because L'/L is Galois and α is a primitive element of this extension.

(actually, we don't even need that $= N_{L'/L}(-\alpha)$ part for the rest of the pt.)

$$(*) \quad v_L(\pi \varphi^{m-1}) = \sum_{\beta \in \mu_{f_m}^x} v_L(\beta) \quad \text{by disregarding units}$$

$$\frac{f_m}{f_{m-1}} = \frac{(x+1)^{p^m} - 1}{(x+1)^{p^{m-1}} - 1} \quad \text{plug in } x := 1 \rightarrow p = \prod_{\substack{\zeta \text{ pth.} \\ \zeta^m \text{th root of 1}}} (1 - \zeta)$$

$\Phi_{p^m}(x)$

$$v_L(\pi \varphi^{m-1}) = e(L'/L) \quad \beta \in \mu_{f_m}^x \Rightarrow \beta \in \mathcal{O}_L \text{ by Lemma X (p. 37),}$$

$$\sum v_L(\beta) \geq \# \mu_{f_m}^x \quad v_L(\beta) \geq 1 \quad (**)$$

$$\deg \left(\frac{dp f_m}{dp f_{m-1}} \right) \Rightarrow (L':L) \geq \deg \left(\frac{dp f_m}{dp f_{m-1}} \right)$$

But $(L':L) = \deg(\text{minpoly of } \alpha / L)$ and $(L':L) = e(L'/L) \cdot f(L'/L)$

$\Rightarrow f(L'/L) = 1$, L'/L is not ramified

$$(L':L) \geq \# \mu_{f_m}^x \geq (L':L) \Rightarrow (L':L) = \# \mu_{f_m}^x = \deg(\text{minpoly of } \alpha / L)$$

$$\alpha \in \mu_{f_m}^x \text{ is a root of } \frac{dp(f_m)}{dp(f_{m-1})} \Rightarrow \text{minpoly}(x) \mid \frac{dp(f_m)}{dp(f_{m-1})}$$

$\deg = \# \mu_{f_m}^x \quad \deg = \# \mu_{f_m}^x$

$\Rightarrow \frac{dp(f_m)}{dp(f_{m-1})}$ is irreducible because it is a scalar multiple of the minpoly.

(*) $\rightarrow \forall v_L(\beta) = 1$ since we must have equality in (**)

\Rightarrow all elements of $\mu_{f_m}^x$ are uniformizers of L'_m .

3): $\sigma \in \text{Gal}(L'/L) \Rightarrow \forall x, y \in m_L : \sigma(F(x, y)) = F(\sigma x, \sigma y) \quad \& \quad \sigma([a]_{\mathfrak{f}, \mathfrak{f}}(x)) = [a]_{\mathfrak{f}, \mathfrak{f}}(\sigma x)$

since $F \in \mathcal{O}_L[[X, Y]]$ and $[a]_{\mathfrak{f}, \mathfrak{f}} \in \mathcal{O}_L[[X]]$

$\Rightarrow \text{Gal}(L'/L) \curvearrowright \mu_{\mathfrak{f}, \mathfrak{m}}$ via \mathcal{O}_K -module automorphisms

\Rightarrow get $\rho_{\mathfrak{f}, \mathfrak{m}} : \text{Gal}(L'/L) \xrightarrow{\text{Grp}} \text{Aut}_{\mathcal{O}_K}(\mu_{\mathfrak{f}, \mathfrak{m}}) \xrightarrow{\psi} \text{Aut}_{\mathcal{O}_K}(\mathcal{O}_K/\mathfrak{m}_K^m) \cong (\mathcal{O}_K/\mathfrak{m}_K^m)^\times$

$\rho_{\mathfrak{f}, \mathfrak{m}}$ is injective by def

$\rho_{\mathfrak{f}, \mathfrak{m}}$ is surjective: $\left. \begin{aligned} \# \text{Gal}(L'/L) &= (L':L) = \# \mu_{\mathfrak{f}, \mathfrak{m}} = q^m - q^{m-1} \\ \# \mathcal{O}_K/\mathfrak{m}_K^m &= q^m - q^{m-1} \end{aligned} \right\}$

$\rightarrow \rho_{\mathfrak{f}, \mathfrak{m}}$ is an iso.

K/\mathbb{Q}_p fin ext, $K^\times \rightarrow \text{Gal}(K^{ab}/K)$

13.12.2018

Dream: make this "almost" an iso of grps

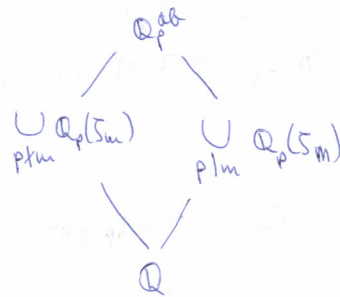
\rightarrow would be nice to understand ramification filtration of RHS in terms of K^\times

Motivating example. $K = \mathbb{Q}_p$

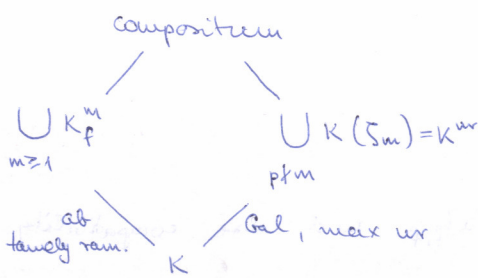
$\mathbb{Q}_p^{ab} = \bigcup \mathbb{Q}_p(\zeta_m)$ LKW

$(\mathbb{Z}/m)^\times \xrightarrow{\sim} \text{Gal}(\mathbb{Q}(\zeta_m)/\mathbb{Q})$

$\prod \mathbb{Z}_p \cong \hat{\mathbb{Z}} = \varprojlim (\mathbb{Z}/m)^\times = \text{Gal}(\mathbb{Q}_p^{ab}/\mathbb{Q})$



General story.



$\text{Gal}(K^{ur}/K) \cong \text{Gal}(\overline{\mathbb{F}_q}/\mathbb{F}_q) \cong \hat{\mathbb{Z}}$

$\varprojlim (\mathcal{O}_K/\mathfrak{m}_K^m)^\times \cong \mathcal{O}_K^\times$

$\mathcal{O}_K^\times \hookrightarrow K^\times \xrightarrow{\varphi_K} \mathbb{Z}$ here we define the maps using a uniformiser, which is not canonical

To get a uniform theory, let L/K be a fin ext.

After we set up the theory, we will see that everything is choice-independent. Then take $L := K$ and get the theory we want. (The interesting thing is that we cannot do this without introducing L)



Def. $(-)^{(i)} := (-)^{\varphi^i}$ for brevity

$$\underline{\mu_{f,m}^{(i)}} := \mu_{f^{(i)},m} = \mu_{\varphi^i f, m}$$

Recall: $f_m = f^{\varphi^{m-1}} \circ \dots \circ f^{\varphi} \circ f$

$$\pi_m = \prod_{t=0}^{m-1} \pi^{\varphi^t} \quad (m \geq 0) \rightarrow \pi_1 = \pi, \quad \pi_0 = 1, \quad [\pi_m]_{f, f^{(m)}} = f_m$$

Lemma We can extend the def of π_m for $m \in \mathbb{Z}$: $\pi_m \in L^X$ st.

1) for $m \geq 0$, the new def agrees with the old one

$$2) \pi_{j+j'} = \pi_{j'}^{(f)} \cdot \pi_j \quad \forall j, j' \in \mathbb{Z}$$

"Numerical Lemma"

$$3) \vartheta \in \bigoplus_{\pi, \pi'}^L \Rightarrow \frac{\vartheta^{(j')}}{\vartheta} = \frac{\pi_{j'}}{\pi_j} \quad \forall j \in \mathbb{Z}$$

$$4) \pi_j \in \bigoplus_{\pi, \pi'}^L \pi^{(j)}$$

PO, nothing happens in the pf. \square

Lemma $f, f' \in \mathcal{O}_K[[X]]$, $f = \pi X + (\deg \geq 2)$, $f \equiv X^q \pmod{m_L}$, same for f' .

If $\vartheta \in \bigoplus_{\pi, \pi'}^L X$, then $\forall m \geq 1$: $[\vartheta] := [\vartheta]_{f, f'}$ defines an \mathcal{O}_K -module iso

$$\mu_{f,m} \longrightarrow \mu_{f',m} \quad \text{and moreover } L_f^m = L_{f'}^m.$$

$$x \longmapsto [\vartheta](x)$$

Pf. Given such a ϑ , $\exists!$ $[\vartheta]_{f, f'} \in X \mathcal{O}_K[[X]]$ st. $[\vartheta]_{f, f'} = \vartheta X + (\deg \geq 2)$

$$f' \circ [\vartheta]_{f, f'} = [\vartheta]_{f, f'}^{\varphi} \circ f.$$

$$f'_m = [\pi'_m]_{f', f'} \varphi^m, \quad f_m = [\pi_m]_{f, f} \varphi^m$$

$$[\vartheta]_{f, f'}^{(m)} \circ f_m = [\vartheta^{(m)}]_{f \varphi^m, f' \varphi^m} \circ [\pi]_{f, f} \varphi^m$$

$$= [\vartheta^{(m)} \cdot \pi]_{f', f'} \varphi^m$$

$$\text{Analogously for } f'_m \circ [\vartheta]_{f, f'} \Rightarrow f'_m \circ [\vartheta] = [\vartheta]^{(m)} \circ f_m$$

Precompose this scalar multiplication operation with any $[a]_{f, f'}$ and use compatibility to see that $[\vartheta]$ is an \mathcal{O}_K -module hom. on $\mu_{f,m}$.

Since ϑ is a unit, ϑ^{-1} gives an inverse $\rightarrow [\vartheta]$ is an iso.

$$\mu_{f,m} = [\vartheta](\mu_{f',m}) \quad \text{since } [\vartheta] \text{ is an iso}$$

$$\underbrace{\mu_{f',m}}_{\subseteq L_f^m}$$

$\subseteq L_f^m$ since L is complete (\Rightarrow limit of power series)

But we know that $L_f^m = L(\mu_{f',m})$. Hence $L_f^m = L_{f'}^m$. \square

Def. K'/K Galois, its Weil group is $W(K'/K) := \{ \sigma \in \text{Gal}(K'/K) \mid \sigma|_{K' \cap K^{ur}} \in \text{Gal}(K' \cap K^{ur}/K) \}$

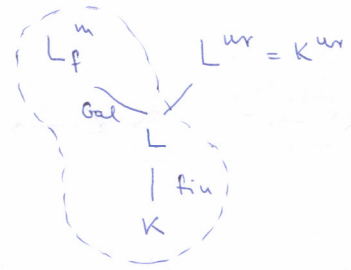
Note: $\text{Gal}(K' \cap K^{ur}/K) \cong \text{Gal}(k_{K'}/k_K) \cong \hat{\mathbb{Z}}$ is an integer power of the arithmetic Frobenius.

Prop. If $K' \supseteq K^{ur}$ then $W(K'/K) = \{ \sigma \in \text{Gal}(K'/K) \mid \sigma|_{K^{ur}} \in \mathbb{Z} \subseteq \hat{\mathbb{Z}} \}$

Prop. $m \geq 1, f \in \mathbb{Q}[X], f = \pi X + (\deg \geq 2), f \equiv X^q \pmod{m_L}$

1) L_f^m/K is Galois and $\forall x \in \mu_{f,m}^x$:

$$\begin{aligned} K^x / (1+m_K^m) &\xrightarrow{\text{set}} \coprod_{j \in \mathbb{Z}} \mu_{f,m}^{(j),x} \\ x &\longmapsto [x \pi_j]_{f,f(j)}(\alpha) \text{ for } \sigma_K(x) = -j \end{aligned}$$

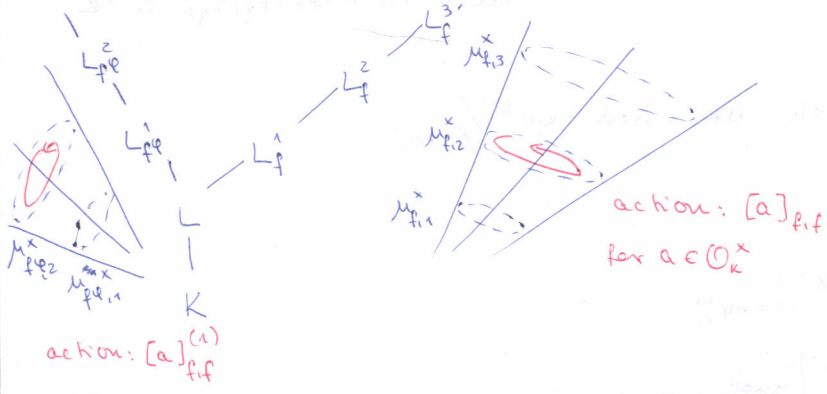


2) $\tilde{K} := \text{max ur ext of } K$ (see Problem Sheet 8). Then $\rho_{f,m}$ extends to an iso

$$\begin{aligned} \rho_{f,m}: W(\tilde{K}_f^m/K) &\xrightarrow{\sim} K^x / (1+m_K^m) \\ (\alpha \mapsto [x \pi]_{f,f(j)}(\alpha)) &\longleftarrow x \text{ with } \sigma_K(x) = -j \end{aligned}$$

Idea. $(\mathbb{O}_K/m_K^m)^x \cong \text{Gal}(L_f^m/L)$. $L_f^m = L(\alpha)$, $\alpha \in \mu_{f,m}^x$, $\mu_{f,m}^x$ is a field Galois orbit of x ,

$\mu_{f,m}^x$ is a $\text{Gal}(L_f^m/L)$ -torsor.



$$L_f^m = L_f^m$$

The two pictures look the same.

PROOF OF PROP: $\sigma_K(x) = -j \Rightarrow x \cdot \pi_j \in \mathbb{O}_{\pi}^L / \pi \pi^{(j)}$: $\frac{(x \pi_j)^q}{x \pi_j} \stackrel{(*)}{=} \frac{\pi^{(j)}}{\pi}$

$$\left. \begin{aligned} \pi_j^{(j')} \pi_j' = \pi_j + j' = \pi_j' \cdot \pi_j &\Rightarrow \text{for } j' = 1: \pi_j^{(1)} \cdot \pi = \pi^{(j)} \cdot \pi \Rightarrow \frac{\pi_j^{(1)}}{\pi_j} = \frac{\pi^{(j)}}{\pi} \\ x = x^q &\end{aligned} \right\} \Rightarrow (*)$$

$x \pi_j \in \mathbb{O}_{\pi}^L / \pi \pi^{(j)} \Rightarrow [x \pi_j]_{f,f(j)}: \mu_{f,m} \xrightarrow{\sim} \mu_{f,m}^{(j)}$ \mathbb{O}_K -mod iso by Lemma.

$\Rightarrow \underbrace{\{ \sigma_K^{-1}(j) \in K^x \}}_{\mathcal{U}_K^{(m)}} \xrightarrow{\sim} \mu_{f,m}^{(j)}$ } Psev. lecture: $\mathbb{O}_K/m_K^m \xrightarrow{\sim} \mu_{f,m}$ iso of \mathbb{O}_K -modules

$$x \longmapsto [x \pi_j]_{f,f(j)}$$

So if we had done our construction w/ α' instead of α , the result would be just the same. The same also goes for $\mu_{f,m}^x$, and proves i) and ii)

Claim. $\rho_{f,m}$ is a group hom.

Pf: Suppose $\sigma, \tau \in W(\check{K}_f^m/K)$.

$$\sigma(\alpha) = [x \pi_j]_{f,f(j)} \text{ for some } x \in K^x, v_K(x) = -j$$

$$\tau(\alpha) = [y \pi_{j'}]_{f,f(j')} \text{ for some } y \in K^x, v_K(y) = -j'$$

$$\begin{aligned} \Rightarrow (\sigma \circ \tau)(\alpha) &= \sigma([y \pi_{j'}]_{f,f(j')}(\alpha)) = [y \pi_{j'}]_{f,f(j')}(\sigma(\alpha)) = [y \pi_{j'}]_{f,f(j')}^{(j)} \circ [x \pi_j]_{f,f(j)}(\alpha) \\ &= [y \pi_{j'}^{(j)}]_{f(j), f(j+j')} \circ [x \pi_j]_{f,f(j)}(\alpha) = [xy \underbrace{\pi_j \pi_{j'}^{(j)}}_{\pi_{j+j'}}]_{f,f(j+j')}(\alpha) \end{aligned}$$

This also shows abelianity. \square

$$\begin{array}{ccccccc} 0 & \rightarrow & \check{O}_K^x / 1+m_K^m & \rightarrow & K^x / 1+m_K^m & \xrightarrow{v_K} & \mathbb{Z} \rightarrow 0 \\ & & \downarrow \rho_{f,m} & \cong & \downarrow \rho_{f,m} & & \downarrow \cong \\ 0 & \rightarrow & \text{Gal}(\check{K}_m^f/\check{K}) & \rightarrow & W(\check{K}_m^f/K) & \rightarrow & \mathbb{Z} \rightarrow 0 \end{array}$$

Def. $\check{K}_f^{LT} := \bigcup_{m \geq 1} \check{K}_f^m$

We get a canonical iso $\rho_f: W(\check{K}_f^{LT}/K) \xrightarrow{\sim} K^x$.

Pf: Define ρ_f for any fin subset $\subseteq \check{K}_f^m$ by $\rho_{f,m}$ and since we have shown compatibility, this is indep of the choice of m .

Form an inverse system along surjections of $K^x/1+m_K^m$

$$\Rightarrow \rho: W(\check{K}_f^{LT}/K) \xrightarrow{\sim} \varprojlim$$

Exercises: $O_K \rightarrow O_K \xrightarrow{u \mapsto \frac{u^p}{u}} O_K$ is exact. \parallel

The fixed field of \check{K} under φ^n is the unique deg n un extn of K .

Prop. \check{K}_f^m and $\rho_{f,m}$ (hence \check{K}_f^{LT}) are indep of the choice of f .

Pf: For f, f' with π, π' take $\theta \in \mathbb{Q} \setminus \mathbb{Z} \cap \frac{\mathbb{Q}}{\pi} \setminus \frac{\mathbb{Q}}{\pi'} \neq \emptyset$ because $\frac{\theta \pi'}{\pi} = \frac{\pi'}{\pi} \theta$ is solvable by θ

$$\Rightarrow [\theta]_{\pi, \pi'} \text{ induces an iso } \mu_{f,m} \xrightarrow[\cong]{\sim} \mu_{f',m}$$

$\in O_K \setminus \mathbb{Z}$

Def. L/K ur fin. extn. let $K^m := K^{ur} \cdot L_f^m$.

Prop. The metric completion of K^m is $\hat{K} \cdot L_f^m$.

• $K^m = (\text{metric completion of } K^m) \cap \bar{K}$ where \bar{K} is the alg cl.

Pf: If V is a fin dim vct field over a top field F then the metric completion of V is $V \otimes_F F^{comp}$ where F^{comp} is the completion of F .

This yields the first assertion.

$\bar{K} \cap (\text{metr compl of } K^m) \supseteq K^m$ is obvious. \uparrow Suppose $y \in \bar{K} \cap (\text{mc of } K^m) \setminus K^m$.

Since $y \in \bar{K}$, it is algebraic, hence has a minipoly w/ fin many coeffs $\Rightarrow \exists L'/K$ fin ur extn s.t. $y \in L'$. (L' containing all the necessary coeffs). $\Rightarrow L'(y)/L'$ fin alg extn.

$\Rightarrow L'(y)/K$ fin \Rightarrow "ef=u" holds.

\uparrow If $L'(y)/L'$ ur $\Rightarrow L'(y) \subseteq (L')^{ur} = K^{ur} \Rightarrow y \in K^{ur} \Rightarrow y \in K^m$ \downarrow

Hence $L'(y)/L'$ has $e \geq 2$. $\Rightarrow v_L((L')^x) \rightarrow \frac{1}{e} \mathbb{Z}$. But this contradicts the fact that the value group does not change under metric completion. \downarrow

$\Rightarrow K^m$ is also indep of f because all K^{ur} , \bar{K} and L_f^m are (the latter by Prop. on p.47.)

Def. $K^{LT} := \bigcup_{m \geq 1} K^m$

20.12.2018

We now call φ_{fin} the local Artin map $Art_K: K^x \xrightarrow{\sim} W(K^{LT}/K)$.

LCFT: if L/K is Galois then we have

$$\begin{array}{ccc} \hat{L}^x & \xrightarrow[\sim]{Art_L} & Gal(L^{LT}/L) \\ \downarrow N_{L/K} & \circlearrowleft & \downarrow \\ \hat{K}^x & \xrightarrow[\sim]{Art_K} & Gal(K^{LT}/K) \end{array}$$

The rows come from completing $K^x \xrightarrow{\sim} W(K^{LT}/K)$.

We don't have this yet: the compatibility needs to be checked. Also, what is the right vertical map?

Next goal: if L/K is some abelian Galois extn, we wts that its subgroup (coming from Galois theory) under the Artin map is its norm group.

Def. K'/K finite extn. $N(K'/K) := N_{K'/K}((K')^x) \subseteq K^x$ is the norm group of K' .

For K'/K algebraic but not necessarily finite, let $N(K'/K) := \bigcap_{\bar{K}/K \text{ fin subext}} N(\bar{K}/K) \subseteq K^x$

Lemma 1. L the unique unram extn of K of deg $n \in \mathbb{Z}_{\geq 1}$. Suppose $\delta \in \bigoplus_{\pi, \pi'} \hat{K}_1^x$ for $\pi, \pi' \in L$ uniformizers. Then $\delta \in \mathcal{O}_L \Leftrightarrow N_{L/K}(\pi) = N_{L/K}(\pi')$.

Pf: Numerical Lemma: $\frac{g(u)}{g} = \frac{\pi'_n}{\pi_n}$, i.e. $\frac{g^{\varphi^n}}{g} = \frac{\pi'_1 \varphi^{n-1} \dots \pi'_1}{\pi \varphi^{n-1} \dots \pi}$

L/K is Galois with $\text{Gal}(L/K) = \{1, \varphi, \dots, \varphi^{n-1}\} \Rightarrow \text{RHS} = \frac{N_{L/K}(\pi')}{N_{L/K}(\pi)}$

Lemma

For all k , the fixed field of \tilde{K} under φ^k is the unique ext of deg k , and $N_{L/K}$ surjects onto $\{x \in K^* \mid v_K(x) \in \mathbb{Z}\}$.

Construction: let $x \in K^*$ be given s.t. $v_K(x) \geq 1$, and let L be the unique ext of deg $n = v_K(x)$, let π be a uniformiser of L s.t. $N_{L/K}(\pi) = x$.

Such a π exists by the lemma.

Def: $K_x^m := L_f^m$ for $f \in \mathcal{O}_L[[X]]$, $f(X) = \pi X + (\text{deg} \geq 2)$, $f \equiv X^n \pmod{m_L}$

Lemma 2: K_x^m is independent of the choice of f . (Not indep of choice of x .)

Pf: Suppose π' is another choice. $\Rightarrow N_{L/K}(\pi) = N_{L/K}(\pi') = x$

$\exists [\theta]_{f,f'} : \mu_{f,m} \xrightarrow{\sim} \mu_{f',m}$ with $\theta \in \bigoplus_{\pi, \pi'} \tilde{K}^{\times}$. Then by Lemma 1: $\theta \in \mathcal{O}_L^{\times}$
 $\Rightarrow [\theta]_{f,f'} \in \mathcal{O}_L[[X]]$

Lemma 3: $\sigma \in \text{Art}_K(x)$. Then σ is characterised as the Galois automorphism in

$W(K^{LT}/K)$ s.t. 1) $\sigma|_{K^m} = \varphi^{v_K(x)}$

2) $\sigma|_{K_x^m} = \text{id} \quad \forall m \geq 1$

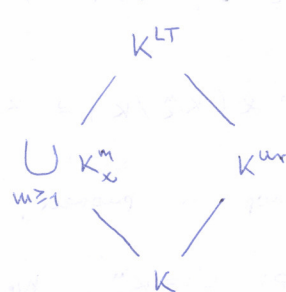
Pf: $v_K(x) = n \Rightarrow \text{Art}_K(x)$ is given by 1), and

$\alpha \mapsto [x\pi_n]_{f,f^{(-n)}}(\alpha)$ for any $\alpha \in \mu_{f,m}^{\times}$.

$f \in \mathcal{O}_L[[X]]$, and the fixed field of φ^n over \tilde{K} is L . $\Rightarrow f^{(-n)} = f$

Also $\pi_n = \pi^{\varphi^{n-1}} \dots \pi^{\varphi} \cdot \pi = N_{L/K}(\pi) = x$. $\Rightarrow \pi_n = x^{-1}$ by the Numerical Lemma.

$\Rightarrow [x\pi_n]_{f,f^{(-n)}}(\alpha) = [xx^{-1}]_{f,f}(\alpha) = [1]_{f,f}(\alpha) = \alpha$.



Cor: $\text{Art}_K: K^{\times} \xrightarrow{\sim} W(K^{LT}/K)$ induces an iso $K^{\times}/\langle x \rangle \times U_K^m \xrightarrow{\sim} \text{Gal}(K_x^m/K)$

Pf: Since $\bigcup K_x^m$ and K^{ur} are linearly disjoint over K , we can use Galois thy for each individually. Need to determine the fixed field of $\langle x \rangle$ resp. U_K^m .

$\langle x \rangle$: Lemma 2 $\Rightarrow x$ acts as the n -Frob, i.e. φ^n for $n = -v_K(x)$. $\Rightarrow (K^{ur})^{\varphi^n} = L$ is the fixed fld.

U_K^m : We have shown that Art_K extends $\rho_{f,m}$ from the totally ramified tower,

$$\rho_{f,m}: \underbrace{\left(\mathcal{O}_K/\mathfrak{m}_K^m\right)^{\times}}_{\mathcal{O}_K^{\times}/U_K^m} \xrightarrow{\sim} \text{Gal}(L_f^m/L)$$

We have seen that $\langle x \rangle \cdot U_K^m$ corresponds to the field extn K_x^m .

Prop. $N(K_x^m/K) = \langle x \rangle \cdot U_K^m$.

Def. $g \in \mathbb{Q}[X] \Rightarrow N(g) \in \mathbb{Q}[X]$ s.t. $N(g) \circ f = \prod_{\alpha \in \mu_{f,1}} g(X +_{\mathbb{F}_f} \alpha)$. Coleman norm operator.

Thm. (Coleman) $\exists! N(g)$.

Pf: [Schneider] or [Iwasawa].

Cor. $N(g_1 \cdot g_2) = N(g_1) \cdot N(g_2)$ by uniqueness.

Def. $N^0(g) = \text{id}$, $N^m(g) := \left(N^{m-1} \left(N(g)^{\varphi^{m-1}} \right) \right)^\varphi$ for $m \geq 1$.

Lemma A. $m \geq 1$: $N^m(g) \circ f_m = \prod_{\alpha \in \mu_{f,m}} g(X +_{\mathbb{F}_f} \alpha)$

Pf: $m=1$: defining property.

$m \geq 2$: tedious induction.

Lemma B. 1) $N(g) \equiv g^\varphi \pmod{m_L}$, in part $N(\mathbb{Q}_L[X]^\times) \subseteq \mathbb{Q}_L[X]^\times$

2) $m \geq 1$, $g \equiv 1 \pmod{m_L^m} \Rightarrow N(g) \equiv 1 \pmod{m_L^{m+1}}$

3) $g \in \mathbb{Q}_L[X]^\times$, $m \geq 1 \Rightarrow \frac{N^m(g)}{N^{m-1}(g)} \equiv 1 \pmod{m^m}$

PO, tedious.

Seen: $\text{Gal}(K_x^m/K) \cong K^\times / \langle x \rangle U_K^m$.

Once Prop. is proven, this can be rephrased as $\text{Gal}(K_x^m/K) \cong K^\times / N(K_x^m/K)$.

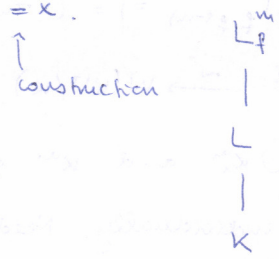
Pf of Prop: $L := K_x^m$. We know that $\alpha \in \mu_{f,m}^\times$ is a prim elt of L_f^m/L and a uniformiser.

$\Rightarrow (L')^\times \cong \langle \alpha \rangle \times \mathcal{O}_{L'}^\times$, i.e. α splits $0 \rightarrow \mathcal{O}_L^\times \rightarrow (L')^\times \xrightarrow[\langle \alpha \rangle]{\nu_L} \mathbb{Z} \rightarrow 0$. (non-canonically)

Step 1. $N_{L'/K}(\alpha) = N_{L/K} \left(\underbrace{N_{L/L}(\alpha)}_{\text{Prop on } L/L} \right) = N_{L/K} \left(\pi^{\varphi^{m-1}} \right) = N_{L/K}(\pi) = x$.

$\text{Gal}(L/K) = \{1, \varphi, \dots, \varphi^{m-1}\}$

Gal conjugates have the same norm



Step 2. Remains to show $N(\mathcal{O}_{L'}^\times) = U_K^m$.

L_f^m/L is tot ram, α is a uniformiser $\Rightarrow \mathcal{O}_{L_f^m} = \mathcal{O}_L[\alpha]$ (proven as a part of the 'ef = deg' formula)

For any $u \in \mathcal{O}_{L'}^\times$, $\exists g \in \mathbb{Q}[X]$ s.t. $u = g(\alpha)$. Let $u_i := N^i(g)(\alpha)$

$\Rightarrow u = \prod_{\alpha \in \mu_{f,i}} g(\alpha)$

$$\frac{u_m}{u_{m-1}} = \prod_{\alpha \in \mu_{f,m}^{\times}} g(\alpha) = \prod_{\substack{\text{Gal orbit} \\ \text{of } \alpha}} g(\sigma\alpha) = N_{L/L}(\alpha)$$

Lemma 8 $\Rightarrow \frac{u_m}{u_{m-1}} \in 1 + \mathfrak{m}_L^m$

$$\Rightarrow N_{L/K}(u) = N_{L/K}\left(\frac{u_m}{u_{m-1}}\right) = N_{L/K}\left(\frac{u_m}{u_{m-1}}\right) \in N_{L/K}(1 + \mathfrak{m}_L^m) \subseteq 1 + \mathfrak{m}_K^m = \mathcal{U}_K^m$$

We still need \supseteq .

